

GALL 2871

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/765,880
		Filing Date	January 18, 2001
		First Named Inventor	Crawford
		Group Art Unit	2871
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number	12136.125

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	TC 2800 MAIL ROOM
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	RECEIVED SEP 21 2001
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
* 14 References * PTO 1449 Form		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mary Rose Scózzafava, Ph.D. (Reg. No. 36,268)
Signature	
Date	September 17, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 

Typed or printed name	Paul L. Speidel
Signature	
Date	September 18, 2001

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#69/2710  
U.S. Serial No. 09/765,880  
Attorney Docket Number 12136.125 T.D.S  
Filed: January 18, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Gregory P. Crawford, et al

**Serial No.:** 09/765,880

**Examiner:** Not yet known

**Filed:** January 18, 2001

**Entitled:** **Electrically Controllable, Variable  
Reflecting Element**

**Group Art Unit:** 2871

**Attorney Docket No.:** 12136.125

Assistant Commissioner of Patents  
Washington, D.C. 20231

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Date: 9/18/01

Paul L. Speidel  
Paul L. Speidel

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the following documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publication be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

U.S. Serial No. 09/765,880  
Attorney Docket Number 12136.125  
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This submission does not constitute a representation by Applicant that a search has been made or that no better art exists and does not constitute an admission that any of the listed documents are material or constitute "prior art." Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Copies of the documents listed on the attached Form PTO-1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 with the next Patent Office communication.

No fees are believed due. If however a fee is due or a credit owed, please make it to our Deposit Account No. 08-0219.

Respectfully submitted,

Date: September 17, 2001

Mary Rose Scozzafava  
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